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TIME: _____

YOUR REF.: 09/810,948

TO:	Examiner Gautam Patel
COMPANY:	U.S. Patent and Trademark Office
FROM:	Kenneth N. Nigon
FAX TELEPHONE:	1-703-872-9314
OFFICE TELEPHONE:	1-703-308-7940
TITLE OF DOCUMENT:	Preliminary Amendment

Total Number of Pages: 2 (including this form)**COMMENTS****CONFIDENTIAL AND PRIVILEGED ATTORNEY/CLIENT INFORMATION**

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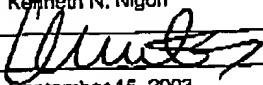
PTO/SB/21 (05-03) (AW 07-03)


Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/810,948
	Filing Date	March 18, 2001
	First Named Inventor	Duanyi Xu et al
	Art Unit	2855
	Examiner Name	Gautam Patel
	Attorney Docket No.	NTP-101US
Total Number of Pages in This Submission		6

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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Firm or Individual Signature Date	Kenneth N. Nilgon  September 15, 2003	Registration No. (Attorney/Agent) 31,549

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Signature		Date	
		September 15, 2003	

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Appln. No.: 09/810,948
Preliminary Amendment Dated

NTP-101US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 09/810,948
Applicant: Duanyi Xu et al.
Filed: March 16, 2001
Title: MULTI-WAVELENGTH OPTICAL DISC, APPARATUS AND METHOD FOR
READING AND WRITING SIGNAL THEREFOR
TC/A.U.: 2655
Examiner: Gautam Patel
Confirmation No.: 7231
Docket No.: NTP-101US

PRELIMINARY AMENDMENT

Commissioner for Patents
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Sir:

Prior to examination, please amend the above-identified application as follows:

- ☐ **Amendments to the Specification** begin on page of this paper.
- ☒ **Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.
- ☐ **Amendments to the Drawings** begin on page of this paper and include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page of this paper. A clean version of the Abstract is on page of this paper.
- ☒ **Remarks/Arguments** begin on page 5 of this paper.